DATE: June 10, 2004

SHEET 1 of 1

| FORM PTO-1449 (Modified) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. | SERIAL NO. |
|-----------------------------|---|--------------------------------|---------------------|
| | | 6979USP1 | 10/788,993 |
| | INFORMATION DISCLOSURE STATEMENT BY APPLICANT | APPLICANT D. J. Madar, et al. | |
| (27 CEP 1 08 (b)) | (Use several sheets if necessary) | FILING DATE February 27, 2004 | GROUP 1614_ 1626 |

U.S.PATENT DOCUMENTS

| Λ | Examiner Initials | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUB CLASS | FILING DATE |
|------|----------------------|----|---------------|---------------|---------------------|-------|--------------|----------------|
| 17 | RS | Al | 5,691,356 | 11/25/1997 | Kimball, Spencer D. | | | |
| ,U - | RS | A2 | 6,011,155 | 01/04/020 | Villhauer | | , | |
| _ | RS | A3 | 6,172,081 | 1/9/2001 | Damon, Robert | | | |
| _ | RS | A4 | 6,395,767 | 05/28/02 | Robl,et al. | | | |

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | | DOCUMENT NUMBER | PUBLIC- ATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUB CLASS | TRANS- LATION | |
|------|----|-----------------|--------------------------|---------------------------|-------|--------------|------------------|----|
| | | | | | | | YES | NO |
| RS | BI | 01/34594 | 17.05.2001 | wo | | | | |
| RS | B2 | 01/68603 | 20.09.2001 | WO | | | | |
| RS | B3 | 01/96295 | 20.12.01 | WO | | • | | |
| RS | B4 | 93/01167 | 21.01.93 | WO | | | | |
| RS ≺ | B5 | 04/016587 | 26.02.04 | WO (translation included) | | | X | |

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

| RS | Cl | Coutts, Simon J., "Structure-Activity Relationships of Boronic Acid Inhibitors of Dipeptidyl Peptidase IV. 1. Variation of the P ₂ Position of X ₂₂ -boroPro Dipeptides | |
|----|----|---|--|
| RS | C2 | Ahren, B., "Inhibition of Dipeptidyl Peptidase IV Improves Metabolic Control over a 4-Week Study Period in Type 2 Diabetes", Diabetes Care, Vol. 25, No. 5, May 2002, pp 869-875 | |
| RS | C3 | Deacon, C. F., "Dipeptidyl Peptidase IV Inhibition Potentiates the Insulinotropic Effect of Glucagon-Like Peptide 1 in the Anesthetized Pig", Diabetes, Vol. 47, May 1998, pp 764-769 | |
| RS | C4 | Ohnuma, K., "Soluble CD26/Dipeptidyl Peptidase IV Induces T Cell Proliferation through CD86 Up-Regulation on APCs ¹ ", J. of Immunology, Vol. 167, No. 12, 2001, pp 6745-6755 | |
| RS | C5 | Villhauer, Edwin B., "1-3-Hydroxy-1-adamantyl) amino] acetyl]-2-cyano-(S)-pyrrolidine: Potent, Selective, and Orally Bioavailable Dipeptidyl Peptidase IV Inhibitor with Antihyperglycemic Properties, "J. Med. Chem. 2003, Vol. 46, pp 2774-2789 | |
| RS | C6 | Hughes, T.E., "1-2-5 Cyanopyridin-2-yl) amino ethyl amino acetyl-2-cyano-(S) pyrrolid Slow Binding Inhibitor of Dipeptidyl Peptidase IV", Biochemistry 1999, Vol. 38, pp 1 11603 | |

EXAMINER DATE CONSIDERED

/Rei Tsang Shiao/ (07/26/2006)

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

x Did not reverse